



IADI Asia-Pacific Regional Committee (APRC)  
Strategic Priorities and Action Plans Committee (SPAC)

## **Summary Report of SPAC Proposals on the 17 Action Plans of the APRC Initiative**

*Publication Date:*

*27 July, 2017*

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## **Members of the Strategic Priorities and Action Plans Committee (SPAC) (as of June 2017)**

Chairperson: Mr. Hiroaki Kuwahara (Hiro), Deposit Insurance Corporation of Japan

Ms. Margaret Chuang, Central Deposit Insurance Corporation, Taiwan

Mr. Nikolay Evstratenko, Deposit Insurance Agency, Russia

Mr. M. Ramaiah, Deposit Insurance and Credit Guarantee Corporation, India

Ms. Uyan Enkhtsogt, Deposit Insurance Corporation of Mongolia

Ms. Nguyen Nguyet Minh, Deposit Insurance of Vietnam

Mr. Didik Madiyono, Indonesia Deposit Insurance Corporation

Mr. Kuanyshbek Abzhanov, Kazakhstan Deposit Insurance Fund

Ms. Minkyung Kim, Korea Deposit Insurance Corporation

Mr. Kevin Chew, Malaysia Deposit Insurance Corporation

Ms. Ma. Ester D. Hanopol, Philippine Deposit Insurance Corporation

Mr. Philip Eng, Singapore Deposit Insurance Corporation

### **SPAC/APRC Secretariat**

Senior secretary: Mr. Hiroyuki Fujimoto, Deposit Insurance Corporation of Japan

Principal secretary: Ms. Ayaka Koide, Deposit Insurance Corporation of Japan

Secretary: Mr. Shin Yamada, Deposit Insurance Corporation of Japan

    Mr. Teruhisa Mitsuyu, Deposit Insurance Corporation of Japan

    Mr. Takashi Onozuka, Deposit Insurance Corporation of Japan

    Ms. Tomomi Sekiguchi, Deposit Insurance Corporation of Japan

### **APRC Multi-Jurisdictional Secretariat**

Ms. Margaret Chuang, Central Deposit Insurance Corporation, Taiwan

Ms. Steffanie Berlian Simatupang, Indonesia Deposit Insurance Corporation

Mr. Kevin Chew, Malaysia Deposit Insurance Corporation

Mr. Napoleon P. Micu, Phillipine Deposit Insurance Corporation

SPAC/APRC Secretaries (Six Secretaries listed above)

## I. Background

- This report is a summary of proposals developed by the Strategic Priorities and Action Plans Committee (SPAC) of the IADI Asia-Pacific Regional Committee (APRC) on the 17 action plans of the APRC initiative.
- The broad objective of the 17 action plans is to enhance the effectiveness of APRC and to add further value to its members. This APRC initiative came about from the bilateral dialogue between Mr. Katsunori Mikuniya, the Governor of the Deposit Insurance Corporation of Japan (DICJ), and Mr. Jean Pierre Sabourin, the Chief Executive Officer of the Malaysia Deposit Insurance Corporation (MDIC), in October 2015 in Kuala Lumpur, Malaysia.
- Discussions on the APRC initiative among APRC members were initiated in early 2016, under the leadership of Mr. Mikuniya. Through these in-depth discussions, the APRC has developed the paper on the “Strategic Priorities and Action Plans for the Asia-Pacific Region” in December 2016<sup>1</sup>. As set out in the paper, the APRC established the SPAC to develop the implementation strategies for achieving the 17 action plans.
- The SPAC had extensive discussions among the members, and obtained insightful suggestions from seven other IADI Regional Committees, the IADI Executive Council, and the IADI Secretariat. The SPAC also developed some new strategies for achieving the action plans, including experimenting with an APRC multi-jurisdictional secretariat.
- This summary report contains the SPAC proposals for the 17 action plans, with focus given to the five high priority action plans.
- The summary report will be submitted to the APRC Annual Meeting in July 2017, in Yogyakarta, Indonesia.

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<sup>1</sup> The executive summary of the paper “Strategic Priorities and Action Plans for the Asia-Pacific Region” was published in January 2017 (<http://www.iadi.org/en/news/iadi-aprc-strategic-priorities-and-action-plans-for-the-asia-pacific-region/>).

## **II. APRC Activities**

### **(1) APRC Events**

#### **A) APRC official events**

- The following sets out the usual timing of the APRC official events to be held annually:
  - The APRC Annual Meeting and International Conference: To be hosted in Q2 (April-June).
  - The Regional Technical Assistance (TA) Workshop<sup>2</sup> : To be hosted in Q3 (July-September)<sup>3</sup>.
    - In consultation with the Training and Technical Assistance Council Committee (TTAC)/Training and Conference Technical Committee (TCTC) Chairpersons, the APRC may also decide to hold the APRC Regional TA Workshop along with the APRC Annual Meeting and International Conference in Q2, if both events are hosted by the same APRC member, or if there are other important reasons.
  - The APRC Meeting on the occasion of IADI Annual General Meeting (AGM): To be hosted in Q4 (October-December), alongside the IADI AGM.
    - In selecting the timing of events, the APRC will be flexible and give due considerations to ensure sufficient time interval between events.
- The APRC will coordinate with Executive Council (EXCO), Core Principles and Research Council Committee (CPRC), TTAC, Member Relations Council Committee (MRC), IADI Secretariat, and other relevant committees in conducting its events and activities.
- The APRC will consider holding a new research event, the APRC Biennial Research Conference (see page 9), to crowd-source its research by opening its research agenda to interested parties outside the IADI, on the occasion of the APRC Annual Meeting and International Conference in Q2.
- The APRC may also hold informal APRC meetings on an ad-hoc basis.

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<sup>2</sup> This is IADI's new platform for the regional TA/training, to be conducted by respective Regional Committees.

<sup>3</sup> This will be held for the first time in the Asia-Pacific region in Yogyakarta, Indonesia, in July 2017.

## ***B) Other regional events***

- APRC members may also host other training/TA/research events outside the regular APRC events. However, members are expected to share their plans with the APRC.
  - The APRC Secretariat will prepare a calendar of events for APRC members.

## **(2) Planning of APRC Official Events**

### ***A) Selection of theme and host of APRC official events***

- At the APRC Annual Meeting in Q2, the APRC will approve the theme of the APRC Regional TA Workshop and APRC Biennial Research Conference (if to be held) two years in advance.
  - The APRC will use the “TA List” and “Research List” (see page 7) to discuss and select the theme for the APRC Regional TA Workshop, and the APRC Biennial Research Conference, respectively.
  - The APRC may select broad and multiple themes to provide flexibility for the host.
  - The APRC may flexibly update the theme to timely reflect the international trend and etc.
- After the APRC Annual Meeting in Q2, APRC members will be given sufficient time to submit their Expressions of Interest (EOI) for hosting (i) the APRC Annual Meeting and International Conference, and (ii) the APRC Regional TA Workshop, two years in advance.
  - For the APRC Regional TA Workshops, APRC members are expected to submit their EOI based on the theme identified at the APRC Annual Meeting. However, APRC members may also suggest a theme that is different from the theme identified at the APRC Annual Meeting, for APRC's consideration.
  - When the APRC Secretariat receives multiple EOIs, the selection of host will be based on the agreed criteria.
- At the APRC meeting held on the occasion of the IADI AGM in Q4, the APRC will approve the host of (i) the APRC Annual Meeting and International Conference and (ii) the APRC Regional TA Workshop two years

in advance.

- The selected host will submit the draft APRC Regional TA Workshop program to the APRC Annual Meeting in Q2, one year in advance. After the APRC Annual Meeting in Q2, the host will revise the draft APRC Regional TA Workshop program by incorporating the comments received at the meeting. The host will submit the revised program to the APRC in Q4 for final approval.
- The APRC will follow the IADI's hosting policy on IADI AGMs, EXCO meetings and Conferences, where applicable. In case the host is unable to hold the event owing to unavoidable reasons, the APRC will make find an alternate host among its members. Otherwise, the APRC will consult the IADI Secretary General, and Chairpersons of TTAC/TCTC if necessary, before deciding on whether to cancel the event.

***B) Identifying needs and potential facilitators for training/TA and research***

- To ensure the effectiveness and efficiency of APRC official events, two surveys will be conducted, to identify the latest needs and potential facilitators: (i) training/TA survey (hereinafter “TA Survey”), and (ii) “Research Survey”. The surveys will be conducted annually in Q1 (January-March).
  - The APRC will discuss whether the survey questionnaires should be reviewed/revised at the APRC meeting that takes place on the occasion of IADI AGM in Q4.
- In addition to the surveys, the APRC shall use other channels to obtain timely information on the latest needs and potential facilitators of training/TA. The APRC will also collect comments from participants after the events (e.g. conducting survey on event participants), and feedback will be used to improve future planning of events.
- The “TA List” and “Research List” will be produced based on the information obtained through the two surveys and other channels. The APRC shall use the lists as a basis to discuss and plan the regional events for the following two years. The lists shall be reviewed and approved at the APRC Annual Meeting in Q2.

### **(3) Other Regional Training and TA/TC Issues**

- The APRC will follow the work of the TTAC on developing a core curriculum for basic training courses and workshops. After TTAC completes the core curriculum, APRC will consider how this core curriculum could be used for regional training/TA events, from the regional perspective.
- The APRC will produce a list of experts to provide technical support and knowledge-sharing for the regional training/TA events. As an initial step, the APRC will compile a list of speakers/presenters from past regional training/TA events, and any additional information from respective APRC members. The APRC will also work with the TTAC and IADI Secretariat, to avoid duplication. The APRC will eventually develop its own regional roster of experts.
- The APRC will regularly collect information on technical cooperation arrangements and events in the region and share the information with its members where possible.

### **(4) Other Research and Policy Development Issues**

- The APRC will ensure that the regional research and policy development projects are conducted effectively and efficiently, by learning from approaches and practices of other regions and avoiding duplications. The APRC may, where appropriate, advocate the APRC members' research needs be accorded high priority at the IADI level.
- At the APRC Annual Meeting, the APRC will discuss research topic(s) of high interest using the Research List.
  - The APRC may undertake a two-pronged approach, by taking up topics which are of common interest to all as well as the ones which are of interest to members with broader mandates. Owing to the diversity of the region, it may be effective to conduct research based on sub-regions (e.g. East Asia, Southeast Asia, or South Asia).
- Since diversity is one of our regional characteristics, it is critical for the APRC to conduct research to identify similarities and differences to know each other better. In this regard, the SPAC has developed an action plan to construct tables on the deposit insurance profile of the Asia-Pacific region by utilising the IADI Annual Surveys, and eventually to develop a research paper.

- Respective APRC members will upload information on any changes in their deposit insurance systems and other important developments to the APRC Shared Repository, on a timely basis. The uploaded information will also be shared in the APRC Quarterly Report to the EXCO. Such information may also be included in the APRC annual Newsletter (see page 11).
- Where appropriate, the APRC may conduct a brief regional analysis based on the survey responses submitted for IADI research projects.
- For the APRC to have a better understanding of our region, it is important that the APRC not only know about our members but also other non-IADI organisations in the region. Hence, the APRC could conduct regional research also covering non-IADI members in the region. In close coordination with the IADI, the APRC may consider how to encourage non-IADI organisations to participate in IADI surveys.
- The APRC may consider crowd-sourcing its research by conducting joint research with external researchers to be presented at the APRC Biennial Research Conference.

### **III. Organisational Management/Operations**

#### **(1) Secretariat Functions**

##### **A) Knowledge management**

- APRC's secretariat functions are provided by the APRC Chairperson's institution. The expanding scope of secretariat services is increasing the burden on the Chairperson's organisation. Under such circumstances, it is a challenge to ensure that the secretariat functions are operated effectively and efficiently.
- To enhance the effectiveness and efficiency of its secretariat functions, the APRC will need to accumulate knowledge and expertise from its secretariat experiences, as well as from other Regional Committee Secretariats.
- The SPAC Chairperson and its Secretariat had meetings with other seven Regional Committees, to learn how they manage/operate their secretariat functions, and further strengthen the working relationship. The SPAC Chairpersons Note on "Learning from other IADI Regional Committees

(provisional summary results)" was prepared based on the information collected through the meetings, and was submitted to the EXCO meeting in May 2017.

- The SPAC has developed a Secretariat Manual, which outlines the processes and procedures in managing the APRC Secretariat, including the knowledge gained from the meetings with other seven Regional Committees.
- The APRC will continue to enhance its secretariat functions which will be recorded in the Secretariat Manual.
  - The Secretariat Manual is also expected to facilitate a smooth transition of the secretariat functions when the Chairperson changes.

#### ***B) APRC Multi-jurisdictional Secretariat***

- If the secretariat functions could be shared with other APRC members, this may enable the APRC Secretariat to further expand, and improve its functions, and services.
  - Lessening the secretariat burden that the Chairperson's institution shoulders may also enable other APRC-members with limited resources, to assume the Chairpersonship role without resource concerns.
- The SPAC experimented with a multi-jurisdictional secretariat by having several SPAC members (IDIC <Indonesia>, MDIC <Malaysia>, PDIC <Philippines>, CDIC <Taiwan>, DICJ) jointly working on the APRC initiative in end-June 2017 in Tokyo, Japan.
- The first exercise of the Multi-Jurisdictional Secretariat was conducted with four SPAC members supporting the work of the APRC Secretariat on the APRC initiative. This exercise was successful and effectively enhanced the cooperative ties of the participating SPAC members. The SPAC prepared a note on lessons learned through this special multi-jurisdictional secretariat exercise.

#### ***C) Information Checklists for new APRC members***

- The secretariat functions and services may not be fully utilised by APRC members if they do not have sufficient understanding on the services available, or what procedures to follow to request for certain information and

services.

- To ensure that APRC members can fully utilise secretariat services, the SPAC has developed a checklist for new members and new staff of existing APRC members. This checklist provides all the necessary information relative to the APRC to allow them to be familiarised with its operations of the APRC/IADI.

#### **D) Contact list of APRC members**

- The APRC Secretariat will develop, update and share a contact list of APRC members to facilitate networking among members and track changes in personnel.

### **(2) IT Tools**

#### **A) APRC Shared Repository**

- The APRC developed the APRC Shared Repository in 2014 to facilitate the sharing documents and information among its members. There are folders for member to update and manage their documents/information. The APRC Shared Repository has basic guidelines, stipulating the roles and responsibilities of users, structure of the repository, and rules for naming uploaded files.
- However, not all APRC members are fully aware of how to fully utilise the APRC Shared Repository. In addition, some documents/information are not uploaded in a timely manner, or may be outdated.
- Explanation and basic guidelines of the APRC Shared Repository are provided in the Checklist for new APRC members to ensure that all APRC members are fully aware of the services/information available in the shared repository.
- To monitor and ensure the effectiveness of the repository and the currentness of uploaded documents/information, the APRC will assign a Web Content Manager. For the time being, the APRC Secretariat assumes this role. However, the APRC will eventually develop the criteria and procedures for the selection of a Web Content Manager (to be selected from members).
- To ensure that APRC members are well-informed of new documents/information which are uploaded to the APRC Shared Repository,

the APRC will include an attachment to its quarterly report to the EXCO, containing all new documents/information uploaded over the past quarter.

- The information checklist will also include an explanation on how to activate the alert notification function of the APRC Shared Repository as well as the IADI Members Only Website.
- To enhance the content of the APRC Shared Repository, a new structure will be introduced to share: (i) existing training/TA materials developed by APRC and its respective members, (ii) information/data on deposit insurance profile and other information of respective jurisdictions in the region, (iii) research and policy development materials developed by APRC and its respective members.
- The APRC will work with the IADI Secretariat, CPRC, and other relevant IADI committees to improve the IADI's public website and confidential member website and the APRC Shared Repository, to meet the needs of members.

#### ***B) Other IT tools***

- The APRC will continue to consider utilising other IT tools to enhance its secretariat functions. This may include video conferencing for regional training/TA events.

### **(3) Other Issues**

#### ***A) Sharing of EXCO information with Non-EXCO members***

- The APRC will continue to hold a session to update non-EXCO members on EXCO activities at the APRC meetings. The APRC may hold additional meetings/conference calls on an ad-hoc basis. Updating non-EXCO members on EXCO activities is important to alleviate dissatisfaction of non-EXCO members.
- EXCO documents are posted on the IADI Member-only Website, to which all IADI members have access. However, not all APRC members may know how to access these documents. Instructions will be provided in the Information Checklist. Members having difficulties accessing the documents may seek assistance from the APRC Secretariat.

### **B) Publication of the APRC Newsletter**

- The APRC will publish its Newsletter on an annual basis. The APRC could include summary information of member-only documents and events in the Newsletter.

### **C) Vice Chairperson**

- The APRC appoints the host of the next APRC Annual Meeting as the Vice Chairperson for one year term.
- Under the new IADI governance structure, the IADI Regional Committees are expected to interact more with the EXCO, and play a bigger role in IADI activities (e.g., conducting Regional TA Workshop).
- Given the expanding role of Regional Committees, It may be beneficial for the APRC to set a longer tenure for the Vice Chairperson's position. Also, the election process and tenure of the APRC Vice Chairperson could be the same as those for the APRC Chairperson. The APRC will consider this issue further.

## **IV. Relationship with Non-IADI Member Organisations**

### **(1) Outreach to Non-IADI Member Deposit Insurers**

- The APRC will discuss which non-IADI member deposit insurers to be targeted, and what approaches may be applied for the outreach activity, at the APRC Annual Meeting in Q2. In the discussion, the APRC may consider possible impediments to obtaining IADI membership. The APRC will consider giving higher priority to potential members in jurisdictions where there is no IADI member. The APRC will also take into account non-IADI member organisations' interest to become IADI members and their willingness to cooperate with the APRC. The APRC may follow the outreach strategies of the Member Relations Council Committee (MRC)/EXCO.
- The APRC will utilise its Regional TA Workshop, International Conference, and other regional events/platforms, as potential avenues for its outreach activities.
- For outreach events, the APRC will consider what support to provide to non-IADI members in the region. The APRC will consider whether the full

values and benefits of IADI membership be limited to non-IADI members, on a case-by-case basis.

- In doing so, the APRC may take into account the economic and financial conditions of the non-IADI members, the length of time complimentary APRC services have been provided. (including invitation to regional events)
- The APRC may also consider incentivising non-IADI members to become members by continuously producing high quality/value documents and services, to which these non-IADI members presently have partial/limited access.

## **(2) Cooperation with Other Organisations**

- The APRC will collect information from its members on regional forums/organisations, branches/offices of international organisations, and financial safety net participants in their jurisdictions. The APRC will review the list, and discuss how to foster collaborations with such organisations at the APRC Annual Meeting. The list will be updated regularly.
- The APRC will maintain regular contacts with these organisations.