Seminar on the Assessment of Compliance with the Core Principles for Effective Deposit Insurance Systems

A Joint Programme Organised by the Financial Stability Institute (FSI) and the International Association of Deposit Insurers (IADI)

Basel, Switzerland, 6–8 September 2011

Draft Agenda

Tuesday 6 September

08:30 Registration at the Entrance of the Bank for International Settlements (BIS) Tower

09:00 Welcoming Remarks

Mr Carlos Isoard, Secretary General, International Association of Deposit Insurers (IADI)

09:10 Introduction to the Seminar

Mr Juan Carlos Crisanto, Senior Financial Sector Specialist, Financial Stability Institute (FSI), BIS
Ms Judith Dupre, Senior Policy Analyst, Office of International Affairs, Federal Deposit Insurance Corporation (FDIC)

09:20 Background on the Core Principles

• Historical perspective
• Feedback on the on-line tutorial on Core Principles

Mr Vijay Deshpande, Senior Consultant, Office of International Affairs, FDIC

09:45 Preconditions

Mr Vijay Deshpande

10:00 European and Private DI Systems Context

Mr Dirk Cupei, Vice Chairman, European Forum of Deposit Insurers (EFDI)

10:30 Group Picture and Coffee/Tea Break

11:00 Setting Objectives

• CP 1 – Public policy objectives
• CP 2 – Mitigating moral hazard

Mr David Hoelscher, Former Assistant Director and Division Chief, Monetary and Capital Markets Department, IMF; currently, Consultant to FDIC

12:00 Lunch – BIS, ground floor
13:30  **Mandates and Powers**
- CP 3 – Mandate
- CP 4 – Powers

*Ms Kumudini Hajra, Director, Secretary’s Department and Research and Policy Department, Deposit Insurance and Credit Guarantee Corporation of India*

14:30  Coffee/Tea Break

15:00  **Governance and Relationships**
- CP 5 – Governance
- CP 6 – Relationships with other safety-net participants
- Mr David Walker, Managing Director, Policy, Insurance and International Affairs, Canada Deposit Insurance Corporation (CDIC), and a representative from a supervisory agency (TBD)

16:00  **Cross-border Issues**
- CP 7 – Cross-border issues: Global and European Contexts
- Mr Dirk Cupei and Mr Jan Nolte, Advisor, Association of German Banks

16:30  **Group Discussion and Report**

17:30  End of Session

18:00  Buffet Reception – BIS, 18th Floor

**Wednesday 7 September**

09:00  **Membership and Coverage**
- CP 8 – Compulsory membership
- CP 9 – Coverage

*Mr Lucas Metzger, Chief Operating Officer, Swiss Banks’ and Securities Dealers’ Depositor Protection Association*

10:00  **Transitioning and Funding**
- CP 10 – Transitioning from a blanket guarantee to a limited coverage deposit insurance system
- CP 11 – Funding

Mr. David Hoelscher

10:45  Coffee/Tea Break

11:15  **Public Awareness**
- CP 12 – Public awareness

*Mr David Walker and Ms Kumudini Hajra*
11:45  Group Discussion and Report
12:30  Lunch – BIS, ground floor
14:00  Selected Legal Issues
   • CP 13 – Legal protection
   • CP 14 – Dealing with parties at fault in a bank failure
      Mr Lucas Metzger and Mr Jan Nolte
15:00  Early Detection
   • CP 15 – Early detection and timely intervention and resolution
   • Video clip on FDIC’s Bank Closing Operation
      Mr David Hoelscher and Mr Vijay Deshpande
15:45  Coffee/Tea Break
16:00  Resolution Process
   • CP 16 – Effective resolution processes
      Mr David Walker
16:30  Reimbursing Depositors and Recoveries
   • CP 17 – Reimbursing depositors
   • CP 18 – Recoveries
      Mr Dirk Cupei, Ms Kumudini Hajra and Mr Jan Nolte
17:30  Recap/Group Discussion
18:00  End of Session
18:30  Bus departs in front of BIS for dinner at Restaurant Merian/Café Spitz

Thursday 8 September

Panel Discussion
Moderator:
Mr Vijay Deshpande
Panel Members:
Mr David Hoelscher; Mr David Walker; Mr Dirk Cupei; Mr Lucas Metzger
Ms Kumudini Hajra; Mr Jan Nolte
Ms Silvana Sejko, General Manager, Albanian Deposit Insurance Agency

09:00  Overall Assessment Process
Timeline, staffing, counterparts, and interviews with key officials
09:45  Requested Documents and Data
10:30  Coffee/Tea Break
11:00  Questionnaire and Questions for safety net participants
12:30  Working Lunch – BIS, Foyer 1st Floor
13:30  Preparing Assessment Report (Aide Mémoire)
14:30  Corrective Action Plan and Long Range Implementation Plan
15:30  Coffee/Tea Break
16:00  Recap and General Q&A
17:00  Concluding Remarks
17:30  End of the Seminar

Revised: 16 August 2011